

02-28-02

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DOCKET NO: 15275/9450 (Li 2)  
EXPRESS MAIL NO: EL801321082US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REISSUE APPLICATION TRANSMITTAL

U.S. Patent and Trademark Office  
Box 2327  
Arlington, Virginia 22202  
Box: REISSUE

Sir:

Transmitted herewith for filing is an Application for Reissue of U.S. Patent  
No. 6,031,956 issued on February 29, 2000.

Inventors : Ming-Jun Li, Yanming Liu, Daiping Ma, and David K. Smith

Title : HIGH PERFORMANCE SINGLE MODE WAVEGUIDE

1. Enclosed are the following:

- ☒ Specification, claims, and abstract (8 pages)
- ☒ ☒ Formal ☐ Informal Drawings (2 pages)
- ☒ Reissue Oath/Declaration (original or copy) in accordance with  
CFR 1.175 (4 pages)
- ☒ Statement by Assignee in Support of Reissue (2 pages)
- ☐ Preliminary Amendment ( pages)
- ☒ Offer to surrender the Original U. S. Patent in accordance with 37 CFR 1.178.  
The Original Patent is:
  - ☐ Enclosed (See Statement by Assignee in Support of Reissue)
  - ☒ Will be supplied before allowance;
  - ☐ Lost or inaccessible. A supporting declaration is attached.
- ☒ Original U.S. Patent is currently assigned:
  - ☒ Yes ☐ No

(If yes, check applicable boxes)

- ☒ Written Consent of Current Assignees is enclosed (See attached  
Statement by Assignee in Support of Reissue)
- ☒ 37 CFR 3.73(b) Statement is enclosed (See attached Statement by  
Assignee in Support of Reissue)

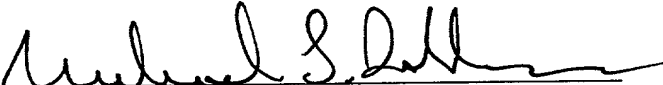
- ☐ Foreign priority claim (if applicable)
- ☒ Information Disclosure Statement (2 pages) with PTO 1449 form (2 pages) and 17 references.
- ☐ Small Entity Statement(s):
- ☐ filed in prior application
- ☐ still proper and desired
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

2. **Fee Calculation:**

	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXXXX	XXXXXXXXXX	XXXXXXX	\$370	OR	XXXXXX	\$740
TOTAL CLAIMS	36 - 8 =	28	X 9 =	\$	OR	X 18 =	\$504
INDEP CLAIMS	3 - 2 =	1	X 42 =	\$	OR	X 84 =	\$ 84
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			X135 =	\$	OR	X270 =	\$
			TOTAL	\$	OR	TOTAL	\$1328

3. Check in the amount of **\$1328.00** is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 14-1138. A duplicate copy of this sheet is enclosed.

Date: February 27, 2002

  
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 Rochester, New York 14603  
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 Facsimile: (585) 263-1600

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: **15275/9450 (Li 2)**

APPLICANTS: **Ming-Jun Li, Yanming Liu, Daiping Ma, and David K. Smith**

TITLE: **HIGH PERFORMANCE SINGLE MODE WAVEGUIDE**

Certificate is attached to the **Reissue Application Transmittal Form**  
**(2 pages) (in duplicate)** of the above-identified application.

"EXPRESS MAIL" NUMBER : **EL801321082US**  
DATE OF DEPOSIT : **February 27, 2002**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to U.S. Patent and Trademark Office, Box 2327, Arlington, Virginia 22202, BOX: REISSUE.

**Jane C. Wirszyla**

(Typed or Printed Name of Person Mailing  
Paper of Fee)

  
(Signature of Person Mailing Paper of Fee)

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: **15275/9450**

APPLICANTS: **Ming-Jun Li, Yanming Liu, Daiping Ma, and David K. Smith**

TITLE: **HIGH PERFORMANCE SINGLE MODE WAVEGUIDE**

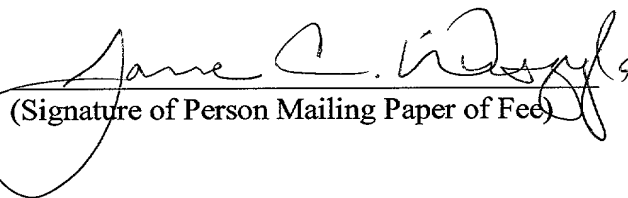
Certificate is attached to the **Information Disclosure Statement (2 pages)**  
and **PTO-1449 Form (2 pages) (in duplicate)** with 17 references of the above-identified  
application.

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APPLICANTS: Ming-Jun Li, Yanming Liu, Daiping Ma, and David K. Smith  
TITLE: HIGH PERFORMANCE SINGLE MODE WAVEGUIDE

Certificate is attached to the **Statement by Assignee in Support of Reissue**  
**(2 pages)** of the above-identified application.

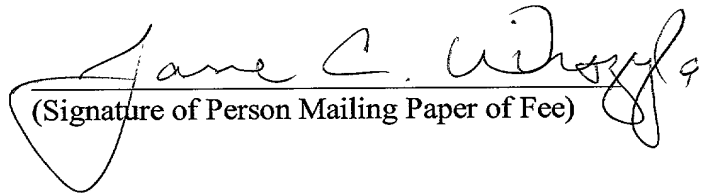
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(Typed or Printed Name of Person Mailing  
Paper of Fee)

(Signature of Person Mailing Paper of Fee)

A handwritten signature in cursive script, reading "Jane C. Wirszyla", is written over a horizontal line. The signature is fluid and includes a large loop at the end.

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: **15275/9450**

APPLICANTS: **Ming-Jun Li, Yanming Liu, Daiping Ma, and David K. Smith**

TITLE: **HIGH PERFORMANCE SINGLE MODE WAVEGUIDE**

Certificate is attached to the **Formal Drawings (2 sheets)** of the above-identified application.

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TITLE: **HIGH PERFORMANCE SINGLE MODE WAVEGUIDE**

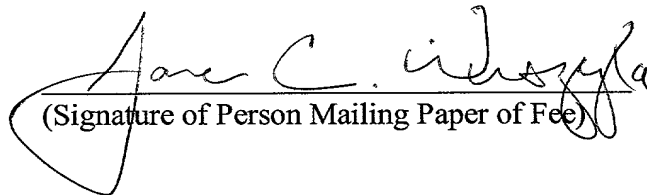
Certificate is attached to the **Patent Application including specification, claims, and abstract (8 pages)** of the above-identified application.

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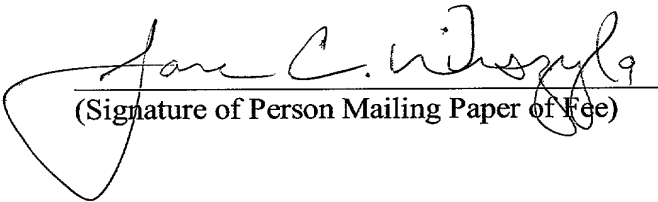
Certificate is attached to the **UNSIGNED Combined Declaration and Power of Attorney Form (4 pages)** of the above-identified application.

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